

JUDGE BUCHWALD

AO 120 (Rev. 3/74)

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SOLICITOR

RECEIVED ON THE
FILING OR DETERMINATION OF
APPLICATION REGARDING A PATENT OR
TRADEMARK

07 CV 5442

JUN 25 2007

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of New York on the following ☒ Patents or ☐ Trademarks:

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
	6/6/2007	for the Southern District of New York
PLAINTIFF RONALD A. KATZ TECHNOLOGY LICENSING, L.P.		DEFENDANT NEW YORK LIFE INSURANCE COMPANY, NEW YORK LIFE AND ANNUITY CORPORATION, NYLIFE INSURANCE COMPANY OF ARIZONA, NYLIFE SECURITIES LLC ; M&T BANK CORPORATION; MANUFACTURERS AND TRADERS TRUST COMPANY; M&T BANK, N.A.; THE HARTFORD FINANCIAL SERVICES GROUP, INC.; HARTFORD LIFE, INC.; HARTFORD LIFE AND ACCIDENT INSURANCE COMPANY; HARTFORD LIFE INSURANCE COMPANY; HARTFORD FIRE INSURANCE COMPANY; HARTFORD CASUALTY INSURANCE COMPANY; HARTFORD ACCIDENT AND INDEMNITY COMPANY; HARTFORD UNDERWRITERS INSURANCE COMPANY, HARTFORD INSURANCE COMPANY OF ILLINOIS, THE HARTFORD MUTUAL FUNDS, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,035,021	3/7/00	Ronald A. Katz
2 6,148,065	11/14/00	Ronald A. Katz Technology Licensing LP
3 5,974,120	10/26/99	Ronald A. Katz Technology Licensing LP
4 6,349,134	2/19/02	Ronald A. Katz Technology Licensing LP
5 4,930,150	5/29/90	First Data Resources, Inc.
6 5,787,156	7/28/98	Ronald A. Katz Technology Licensing LP
7 6,434,223	8/13/02	Ronald A. Katz Technology Licensing LP
8 5,251,252	10/5/93	First Data Resources, Inc.
9 5,351,285	9/27/94	First Data Resources, Inc.
10 5,255,309	10/19/93	First Data Resources, Inc.
11 6,678,360	1/13/04	Ronald A. Katz Technology Licensing LP
12 5,815,551	9/29/98	Ronald A. Katz Technology Licensing LP
13 6,424,703	7/23/02	Ronald A. Katz Technology Licensing LP
14 5,561,707	10/1/96	Ronald A. Katz Technology Licensing LP
15 5,828,734	10/27/98	Ronald A. Katz Technology Licensing LP
16 5,898,762	4/27/99	Ronald A. Katz Technology Licensing LP

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17 5,684,863	11/04/97	Ronald A. Katz Technology Licensing LP
18 5,917,893	6/29/99	Ronald A. Katz Technology Licensing LP
19 6,335,965	1/1/02	Ronald A. Katz Technology Licensing LP
20 4,792,968	12/20/88	FDR Interactive Tech
21 5,128,984	7/7/92	First Data Resources, Inc.

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
2			
3			
4			
5			

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK <i>J. Michael McMahon</i>	(BY) DEPUTY CLERK <i>Maribel Becerra</i>	DATE <i>12/11/07</i>
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

